

07-20-06

**TRANSMITTAL OF INFORMATION DISCLOSURE STATEMENT**  
(Under 37 CFR 1.97(b) or 1.97(c))Docket No.  
3577

In Re Application Of: SCHANZ, G., ET AL

Application No.

Filing Date

Examiner

Customer No.

Group Art Unit

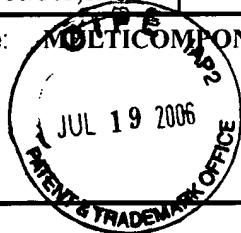
Confirmation No.

10/563,191

01/03/2006

278

Title: MULTICOMPONENT PACKAGING WITH STATIC MICROMIXER



Address to:

Commissioner for Patents  
P.O. Box 1450  
Alexandria, VA 22313-1450**37 CFR 1.97(b)**

1. ☒ The Information Disclosure Statement submitted herewith is being filed within three months of the filing of a national application other than a continued prosecution application under 37 CFR 1.53(d); within three months of the date of entry of the national stage as set forth in 37 CFR 1.491 in an international application; before the mailing of a first Office Action on the merits, or before the mailing of a first Office Action after the filing of a request for continued examination under 37 CFR 1.114.

**37 CFR 1.97(c)**

2. ☐ The Information Disclosure Statement submitted herewith is being filed after the period specified in 37 CFR 1.97(b), provided that the Information Disclosure Statement is filed before the mailing date of a Final Action under 37 CFR 1.113, a Notice of Allowance under 37 CFR 1.311, or an Action that otherwise closes prosecution in the application, and is accompanied by one of:

☐ the statement specified in 37 CFR 1.97(e);**OR**☐ the fee set forth in 37 CFR 1.17(p).

**TRANSMITTAL OF INFORMATION DISCLOSURE STATEMENT**  
(Under 37 CFR 1.97(b) or 1.97(c))

Docket No.  
3577

In Re Application of: **SCHANZ, G., ET AL**

Application No.	Filing Date	Examiner	Customer No.	Group Art Unit	Confirmation No.
10/563,191	01/03/2006		278		

Title: **MULTI-COMPONENT PACKAGING WITH STATIC MICROMIXER**



**Payment of Fee**

(Only complete if Applicant elects to pay the fee set forth in 37 CFR 1.17(p))

- ☐ A check in the amount of \_\_\_\_\_ is attached.
- ☐ The Director is hereby authorized to charge and credit Deposit Account No. \_\_\_\_\_ as described below.
- ☐ Charge the amount of \_\_\_\_\_
- ☐ Credit any overpayment.
- ☐ Charge any additional fee required.
- ☐ Payment by credit card. Form PTO-2038 is attached.

**WARNING: Information on this form may become public. Credit card information should not be included on this form. Provide credit card information and authorization on PTO-2038.**

**Certificate of Transmission by Facsimile\***

I certify that this document and authorization to charge deposit account is being facsimile transmitted to the United States Patent and Trademark Office (Fa

\_\_\_\_\_  
(Date)

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Signature

\_\_\_\_\_  
Typed or Printed Name of Person Signing Certificate

**Certificate of Mailing by First Class Mail**

I hereby certify that this correspondence is being deposited with the United States Postal Service with sufficient postage as first class mail in an envelope addressed to "Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450" [37 CFR 1.8(a)] on

07/19/2006

\_\_\_\_\_  
(Date)

\_\_\_\_\_  
Signature of Person Mailing Correspondence

**MICHAEL J. STRIKER**

\_\_\_\_\_  
Typed or Printed Name of Person Mailing Certificate

**\*This certificate may only be used if paying by deposit account.**

  
Signature

Dated: 07/19/2006

**EV261934418 US**

CC:



UNITED STATES PATENT AND TRADEMARK OFFICE

Examiner:.

Group:

Attorney Docket # 3577

Applicant(s): SCHANZ, G., ET AL

Serial No.: 10/563,191

Filed: 01/03/2006

For: MULTICOMPONENT PACKAGING WITH STATIC...

**INFORMATION DISCLOSURE STATEMENT**

July 19, 2006

Honorable Commissioner of Patents  
and Trademarks  
Washington, D.C. 20231

S I R S:

  X   In accordance with the Duty of Disclosure, Applicant(s) submit(s) herewith a copy of Foreign Search Report in a counterpart application and copies of the reference(s) indicated therein.

  X   In the event that the Foreign Search Report is in a foreign language, a translation thereof is herewith submitted.

  X   Attached hereto is a FORM PTO 1449 listing the references.

  X   Attached hereto is a copy of a reference cited in the specification of the application as filed. The specification itself recites the relevance of these documents, and pursuant to M.P.E.P. Section 609 A (3) this represents an acceptable Statement of Relevancy. The reference(s) appear(s) at the following page(s) and line(s) of the specification: Pg 1 Line 8<sup>th</sup> from the bottom, Pg 1 Line 6<sup>th</sup> from bottom, Pg 1 Line 5<sup>th</sup> from bottom, Pg 1 Line 4<sup>th</sup> from bottom, Pg 17 lines 16-18, Pg 18 lines 19-20, Pg 23 Lines 3 & 4 from the bottom, Pg 24 Line 10 and Pg 26 Lines 33-37.

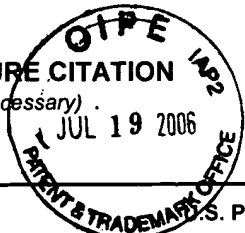
       Applicant petitions for consideration of this Information Disclosure Statement since it is being submitted after receipt of an office action. It is respectfully requested that the required fee be charged to the account of the undersigned: 19-4675.

- ☐ Attached hereto are copies of references cited which may be pertinent to this application. Since the references are in the English language, no statement of relevancy is submitted.
- ☐ Attached hereto is a copy of the Office Action issued in the corresponding German and European applications, together with a translation thereof and copies of the references cited therein. A list of the cited references is also attached.
- ☐ Attached hereto copies of references cited which may be pertinent to this application. An English translation of the reference is also attached.
- ☐ Attached hereto is a Statement of Relevancy and copies of references cited therein.
- ☒ Relevancy is indicated in an English language abstract or an English language equivalent attached hereto.

Respectfully submitted,



Michael J. Striker  
Attorney for Applicant(s)  
Reg. No. 27233

 <b>INFORMATION DISCLOSURE CITATION</b> <i>(Use several sheets if necessary)</i>				ATTY DOCKET NO. <b>3577</b>		APPLICATION NO. <b>10/563,191</b>		
				APPLICANT(S) <b>SCHANZ, G., ET AL</b>				
				FILING DATE <b>01/03/2006</b>		GROUP ART UNIT		
<b>U.S. PATENT DOCUMENTS</b>								
*EXAMINER INITIAL		DOCUMENT NUMBER	DATE	NAME	CLASS	SUBCLASS	FILING DATE IF APPROPRIATE	
		3,451,593	06/24/1969	DILLARSTONE				
		4,773,562	09/27/1988	GUERET				
		6,457,854	10/01/2002	KOOP ET AL				
		6,367,964	04/09/2002	SCHMELZ ET AL				
		6,488,315	12/03/2002	BRENNER ET AL				
<b>U.S. PATENT APPLICATION PUBLICATIONS</b>								
*EXAMINER INITIAL		DOCUMENT NUMBER	DATE	NAME	CLASS	SUBCLASS	FILING DATE IF APPROPRIATE	
		2003/0039169	02/27/2003	EHRFELD ET AL				
<b>FOREIGN PATENT DOCUMENTS</b>								
		DOCUMENT NUMBER	DATE	COUNTRY	CLASS	SUBCLASS	TRANSLATION	
							YES	NO
		99/20379	04/29/1999	WO (ENGLISH ABSTRACT)			✓	
		99/20382	04/29/1999	WO (ENGLISH ABSTRACT)			✓	
		99/20906	04/29/1999	WO (ENGLISH ABSTRACT)			✓	
		00/31422	06/02/2000	WO (ENGLISH ABSTRACT)			✓	
		98/05296	02/12/1998	WO (ENGLISH ABSTRACT)			✓	
<b>OTHER DOCUMENTS</b> <i>(Including Author, Title, Date, Pertinent Pages, Etc.)</i>								
		"INTERNATIONAL COSMETIC INGREDIENT DICTIONARY AND HANDBOOK:, 7TH EDITION, VOLUME 2, IN THE SECTION ON "SUFACTANTS", AND PARTICULARLY IN THE SUBSECTION ON "SURFACTANTS-EMULSIFYING AGENTS." PG 1679-1685.						
		E. SAGARIN "COSMETICS, SCIENCE AND TECHNOLOGY:, INTERSCIENCE PUBLISHERS INC., NEW YORK (1957), PAGES 503-530.						
<b>EXAMINER</b>				<b>DATE CONSIDERED</b>				
*EXAMINER: Initial if reference considered, whether or not citation is in conformance with MPEP 609; Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant.								

<b>INFORMATION DISCLOSURE CITATION</b> <i>(Use several sheets if necessary)</i>				ATTY DOCKET NO. 3577		APPLICATION NO. 10/563,191	
				SCHANZ, G., ET AL			
				FILING 01/03/2006		GROUP ART	

U.S. PATENT DOCUMENTS							
*EXAMINER INITIAL		DOCUMENT NUMBER	DATE	NAME	CLASS	SUBCLASS	FILING DATE IF APPROPRIATE
		6,929,781	08/16/2005	HOHMANN ET AL			
		5,977,038	11/02/1999	BIRTWISTLE ET AL			
		5,904,424	05/18/1999	SCHWESINGER ET AL			
		6,817,753	11/16/2004	BUENGER ET AL			
		6,367,964	04/09/2002	SCHMELZ ET AL			

U.S. PATENT APPLICATION PUBLICATIONS							
*EXAMINER INITIAL		DOCUMENT NUMBER	DATE	NAME	CLASS	SUBCLASS	FILING DATE IF APPROPRIATE

FOREIGN PATENT DOCUMENTS								
		DOCUMENT NUMBER	DATE	COUNTRY	CLASS	SUBCLASS	TRANSLATION	
							YES	NO
		00/54735	09/21/2000	WO ( ENGLISH ABSTRACT)			✓	
		96/30113	10/03/1996	WO (ENGLISH ABSTRACT)			✓	
		01/43857	06/21/2001	WO (ENGLISH ABSTRACT)			✓	
		00/54890	09/21/2000	WO ( ENGLISH ABSTRACT)			✓	
		195 11 603	10/02/1996	DE (ENGLISH ABSTRACT)			✓	

OTHER DOCUMENTS <i>(Including Author, Title, Date, Pertinent Pages, Etc.)</i>			
			H. JANISTYN "HANDBUCH DER KOSMETIKA UND RIECHSTOFFE" [HANDBOOK OF COSMETICS AND PERFUMES], VOLUME 3 (1973)BAND 3, PAGES 388-397.
			K. SCHRADER "GRUNDLAGEN UND REZEPTUREN DER KOSMETIKA" [FUNDAMENTALS AND FORMULATIONS OF COSMETICS], 2ND EDITION (1989), PAGES 782-815.

EXAMINER	DATE CONSIDERED
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	SCHANZ, G., ET AL	
	FILING <b>01/03/2006</b>	GROUP ART

**U.S. PATENT DOCUMENTS**

*EXAMINER INITIAL	DOCUMENT NUMBER	DATE	NAME	CLASS	SUBCLASS	FILING DATE IF APPROPRIATE

**U.S. PATENT APPLICATION PUBLICATIONS**

*EXAMINER INITIAL	DOCUMENT NUMBER	DATE	NAME	CLASS	SUBCLASS	FILING DATE IF APPROPRIATE

**FOREIGN PATENT DOCUMENTS**

		DOCUMENT NUMBER	DATE	COUNTRY	CLASS	SUBCLASS	TRANSLATION	
							YES	NO
		197 46 583	04/29/1999	DE (ENGLISH ABSTRACT)			✓	
		197 46 584	04/29/1999	DE (ENGLISH ABSTRACT)			✓	
		197 46 585	04/29/1999	DE (ENGLISH ABSTRACT)			✓	
		198 54 096	05/25/2000	DE (ENGLISH ABSTRACT)			✓	

**OTHER DOCUMENTS** *(Including Author, Title, Date, Pertinent Pages, Etc.)*

			DOEFW-JOURNAL 128, VOLUME 11-2002, PAGE 55 "SPONTANE EMULSIONSBILDUNG MIT DEM IN-SITU-EMULGIERSYSTEM.

EXAMINER	DATE CONSIDERED
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\*EXAMINER: Initial if reference considered, whether or not citation is in conformance with MPEP 609; Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant.